MEFCOM CAPITAL MARKETS LIMITED - INVESTOR COMPLAINTS DATA

Name of Merchant Banker : Mefcom Capital Markets Limited
SEBI Registration Number : INM000000016
Data for the month ending : October 2026

Activity: Consolidated Report

OCTOBER - 2026							
Sr. No.	**Received from**	**Pending as at the end of last month**	**Received during the particular month**	**Resolved during the particular month***	**Total pending during the particular month#**	**Pending complaints > 1 month**	**Average Resolution time^ (in days)**
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCOREs)	0	0	0	0	0	NA
3	Stock Exchanges (if relevant)	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	-

Trend of monthly disposal of complaints (For 5 months on a rolling basis)

Sr. No.	Month	Carried forward from the previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Oct - 26	0	0	0	0
2	Sep – 26	3	10	13	0
3	Aug - 26	0	3	0	3
4	Jul - 26	0	0	0	0
5	Jun - 26	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month, divided by the total number of complaints resolved in the current month.
*Inclusive of complaints of previous months resolved in the current month.
Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on a rolling basis):

Sr. No.	Financial Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27€	0	13	13	0
2	2025-26	0	6	6	0
3	2024-25	0	5	5	0
4	2023-24	0	0	0	0
5	2022-23	0	2	2	0
	Grand Total	0	26	26	0

€ No. of complaints till October 31, 2026.

1. Category: Initial Public Offer (IPO) and Further Public Offer (FPO), including Offer for Sale (OFS)

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during particular month#	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCOREs)	0	0	0	0	0	NA
3	Stock Exchanges (if relevant)	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	-

*Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month.
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on a rolling basis)

Sr. No.	Month	Carried forward from the previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Oct - 26	0	0	0	0
2	Sep – 26	3	10	13	0
3	Aug - 26	0	3	0	3
4	Jul - 26	0	0	0	0
5	Jun - 26	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on a rolling basis):

Sr. No.	Financial Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27	0	13	13	0
2	2025-26[€]	0	6	6	0
3	2024-25	0	0	0	0
4	2023-24	0	0	0	0
5	2022-23	0	0	0	0
	Grand Total	0	19	19	0

[€] No. of complaints till October 31, 2026.

2. Category: Substantial Acquisitions of Shares and Takeovers

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during particular month#	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCOREs)	0	0	0	0	0	NA
3	Stock Exchanges (if relevant)	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	-

*Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on a rolling basis)

Sr. No.	Month	Carried forward from the previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Oct - 26	0	0	0	0
2	Sep – 26	0	0	0	0
3	Aug - 26	0	0	0	0
4	Jul - 26	0	0	0	0
5	Jun - 26	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on a rolling basis):

Sr. No.	Financial Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27	0	0	0	0
2	2025-26€	0	0	0	0
3	2024-25	0	0	0	0
4	2023-24	0	0	0	0
5	2022-23	0	0	0	0
	Grand Total	0	0	0	0

€ No. of complaints till October 31, 2026.

3. Category: Buyback of Securities

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during particular month#	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCOREs)	0	0	0	0	0	NA
3	Stock Exchanges (if relevant)	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	-

*Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints (For 5 months on a rolling basis)

Sr. No.	Month	Carried forward from the previous month	Received during the month	Resolved during the month*	Pending at the end of the month #
1	Oct - 26	0	0	0	0
2	Sep – 26	0	0	0	0
3	Aug - 26	0	0	0	0
4	Jul - 26	0	0	0	0
5	Jun - 26	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month # Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (for 5 years on a rolling basis):

Sr. No.	Financial Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2026-27	0	0	0	0
2	2025-26€	0	0	0	0
3	2024-25	0	0	0	0
4	2023-24	0	0	0	0
5	2022-23	0	0	0	0
	Grand Total	0	0	0	0

€ No. of complaints till October 31, 2026.

4. **Category: Preferential Issue**

5. **Category: Qualified Institutions Placement (QIP)**

6. **Category: Delisting of Equity Shares**

7. **Category: Rights Issue**

8. **Category: Public Issue of Debt Securities**

9. **Category: Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)**

10. **Category: Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)**

11. **Category: Public Offer of Units by REITS**

12. **Category: Public Offer of Units by INVITS**

13. **Category: Private Placement of Units by INVITS proposed to Be Listed**

14. **Category: Private Placement of Municipal Debt Securities**

Note: We have not dealt in any of the categories from point no. 4 to 14 mentioned above, and there is no investor complaint to report on any of the said categories.